



# Today's Discussion

- Certification Myths
- Defining Small Business
- Federal Certifications Overview
  - Program Overview & Benefits
  - Eligibility requirements
  - Application processes
  - Is this certification a good fit for your business?
- Sneak Peak Signing in to MySBA Certifications
- State and Commercial Certifications
- Got Certs? Now What?





### **Certification Myths**

#### Office of Business Development | Resources



- Before you can begin business with the government your business must obtain the proper certifications. Small business certifications are
- | X | like professional certifications; they document a special capability or status that will help you compete in the marketplace. Unlike permits and licenses, you do not need to obtain certifications to legally operate.
- However, in order to take advantage of business opportunities, such as government contracts, you may need to obtain some certifications.
- Federal, state and local governments offer businesses opportunities to sell billions of dollars worth of products and services. Many government agencies require that some percentage of the procurements be set aside for small businesses.
  - Certifying your business can definitely help you successfully compete for government contracts.

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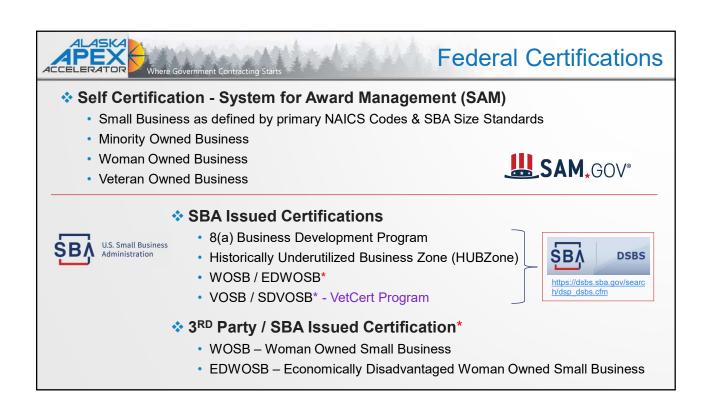


# **Certification Myths**

- X Because I am/have (fill in cert here,) they have to give me a contract!
- X Agencies will pick me because of my small business certs.
- X Primes will pick me based only on my small business certs.
- X 8(a) certification will guarantee me contracts.
- X I have to have all my certifications before bidding on government contracts.
- X They have to give me preference because I am (fill in cert here.)









### **Defining Small Business**

#### What is a small business?

For the purpose of federal contracting programs, an entity can self-certify as a small business if:

- ...any business entity organized for profit (even if its ownership is in the hands of a nonprofit entity) with a place of business located in the United States or its outlying areas and that makes a significant contribution to the U.S. economy through payment of taxes and/or use of American products, material and/or labor, etc. "Concern" includes but is not limited to an individual, partnership, corporation, joint venture, association, or cooperative. <a href="https://www.acquisition.gov/far/part-19#FAR">https://www.acquisition.gov/far/part-19#FAR</a> 19 001
- The entity falls under the size standard for the NAICS code assigned to a solicitation or contract activity
  - NAICS = North American Industry Classification System (available from the U.S. Census Bureau at <a href="https://www.census.gov/naics/">https://www.census.gov/naics/</a>)
  - Size Standard = Based on either Gross Annual Receipts averaged over the past 5 years or Number of Employees averaged over the last 24 months

https://www.sba.gov/document/support-table-size-standards

 NAICS codes are updated by the Office of Management and Budget through its Economic Classification Policy Committee every five years. New NAICS codes are not available for use in Federal contracting until SBA publishes corresponding size standards.





# Socio-Economic Designations

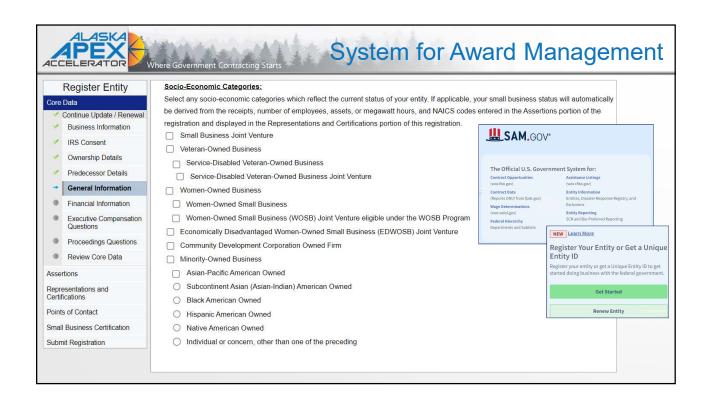
#### How are the business socio-economic designations applied?

"Small Disadvantaged Business Program – For the purpose of improving and stimulating this small business segment, EPA established a realistic Department-wide goal for the award of contracts to small business concerns owned and controlled by socially and economically disadvantaged individuals."

https://www.epa.gov/resources-small-businesses/socioeconomic-programs-small-businesses

- For the purpose of federal contracting programs, an entity can self-certify their socio-economic status based on:
  - Size must be a small business based on primary (or proscribed) NAICS code
  - Majority Ownership designations are applied to the business
    - Majority vs. Minority ownership
  - Management and control of day-to-day operation
  - FAR 52.219-1 Small Business Program Representation Definitions

https://www.acquisition.gov/far/part-52#FAR 52 219 1









contracting dollars to small disadvantaged businesses each

# 8(a) Program Benefits

The 8(a) program can be a valuable tool for experienced socially and economically disadvantaged small business owners, who have already been in business for at least two years or more, and are interested in expanding their footprint in the federal marketplace. The 8(a) program offers unique and valuable business assistance. The 8(a) certification does not guarantee contract awards but it is a dynamic tool to pursue and capture new opportunity from the government.



#### Certified firms in the 8(a) program can:

- · Efficiently compete and receive set-aside and sole-source contracts
- Receive one-on-one business development assistance for their nine-year term from dedicated Business Opportunity Specialists focused on helping firms grow and accomplish their business objectives
- Pursue opportunity for mentorship from experienced and technically capable firms through the SBA Mentor-Protégé program
- Connect with procurement and compliance experts who understand regulations in the context of business growth, finance, and government contracting
- Pursue joint ventures with established businesses to increase capacity
- Qualify to receive federal surplus property on a priority basis
- · Receive free training from SBA's Empower to Grow program

 $\underline{\text{https://www.sba.gov/federal-contracting/contracting-assistance-programs/8a-business-development-program\#program-overview} \\$ 



# 8(a) Qualifications

Program overview: Sections 7(j)(10) and 8(a) of the Small Business Act (15 U.S.C. §§ 636(j)(10) and 637(a)) authorizes the U.S. Small Business Administration (SBA) to establish a business development program, which is known as the 8(a) Business Development program.



- Program qualifications: To qualify for the 8(a) program, businesses must meet the following eligibility criteria:
  - · Be a small business
  - Not have previously participated in the 8(a) program
  - Be at least 51% owned and controlled by U.S. citizens who are socially and economically disadvantaged
  - Have a personal net worth of \$850 thousand or less, adjusted gross income of \$400 thousand or less, and assets totaling \$6.5 million or less
  - · Demonstrate good character
  - · Demonstrate the potential for success such as having been in business for two years

8(a) certification lasts for a maximum of nine years. The first four years are considered a development stage and the last five years are considered a transitional stage. Continuation in the program is dependent on staying in compliance with program requirements.

The federal government fully defines who qualifies for the 8(a) program — including what counts as being socially and economically disadvantaged — in <u>Title 13 Part 124 of the Code of Federal Regulations</u>.



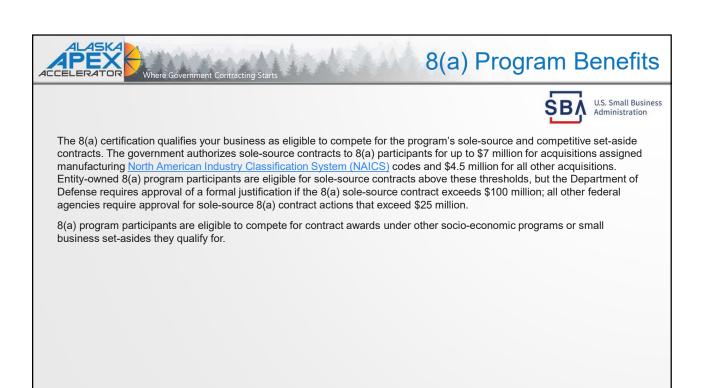
# 8(a) Program Benefits

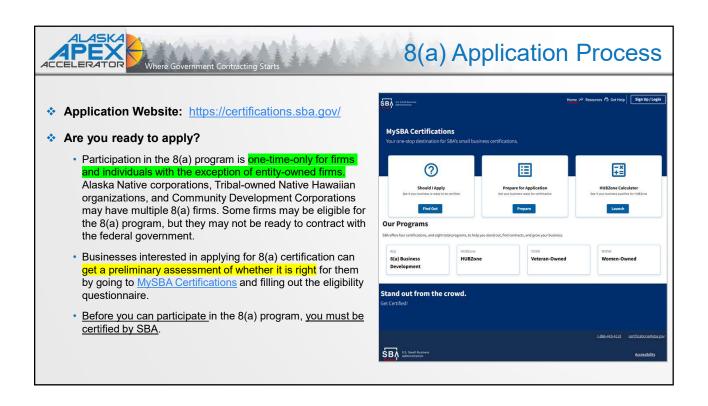
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## 8(a) Application Process

- To apply for the 8(a) program, follow these steps:
  - · Identify your primary NAICS code(s).
  - Register your business in the <u>System for Award Management</u>.
  - Apply for 8(a) certification.
  - Visit the <u>Knowledge Base</u> to find helpful resources, including the application guide, to assist with gathering necessary documentation as well as completing and submitting the application.
  - If you need assistance with your social disadvantage narrative, access the <u>Guide for Writing a Social</u>
     <u>Disadvantage Narrative</u> on the Certify Knowledge Base.
  - If your application is determined incomplete, SBA will notify you in writing through certifications.sba.gov.
    Once SBA has determined the application is complete, SBA has 90 days to process the application and
    render a decision. Once certified, your profile in SAM and <a href="Dynamic Small Business Search">Dynamic Small Business Search</a> will show
    your approval date and exit date for the 8(a) program.



https://sbaone.atlassian.net/wiki/spaces/CKB/overview

Guide for Demonstrating Social Disadvantage

Owned by fatile Social ...

List Locative May 28, 2024 + 8 min read

https://sbaone.atlassian.net/wiki/spaces/CKB/pages/2768 076819/Guide+for+Demonstrating+Social+Disadvantage



## Is this a good fit at this time?



#### However, is this program right for your business at this time?

- Participation in the 8(a) program is one-time-only for firms and individuals with the exception of entity-owned firms.
- Alaska Native corporations, Tribal-owned Native Hawaiian organizations, and Community Development Corporations may have multiple 8(a) firms.
- Some firms may be eligible for the 8(a) program, but they may not be ready to contract with the federal government.
  - "Potential for Success" = Contract Ready:
    - Administrative ability to maintain reporting and compliance requirements
    - o Have the Ability, Capability, & Capacity for business growth
    - Suppliers & other support resources in place
    - Identified "stop points" for growth





### **HUBZone Eligibility**

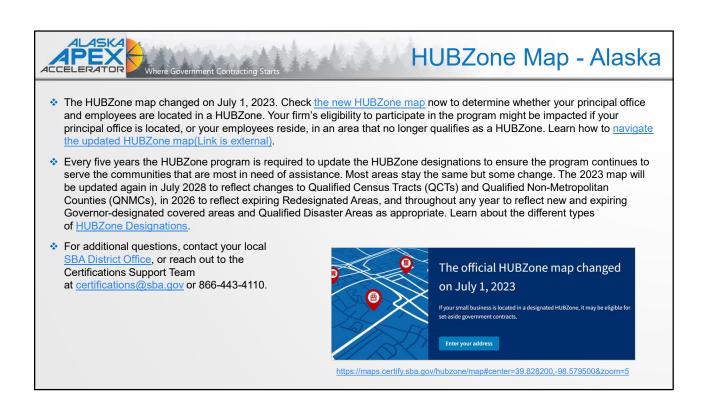
Program benefits: The government limits competition for certain contracts to businesses in historically underutilized business zones. It also gives preferential consideration to those businesses in full and open competition.

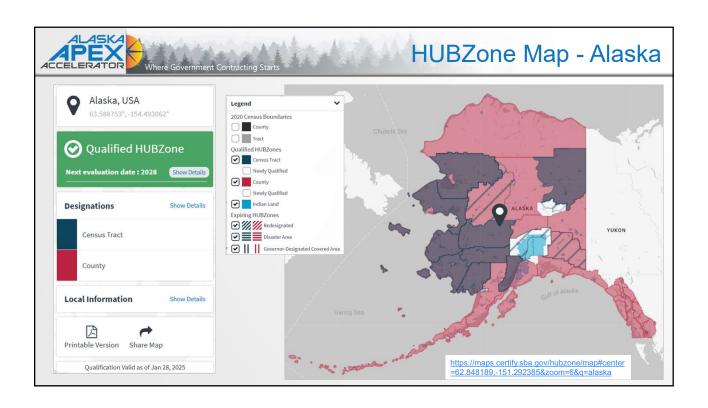
Joining the HUBZone program makes your business eligible to compete for the program's <u>set-aside contracts</u>. HUBZone-certified businesses also get a 10% price evaluation preference in full and open contract competitions.

- Program qualifications: To qualify for the HUBZone program, your business must:
  - Be a small business according to SBA size standards
  - Be at least 51% owned and controlled by U.S. citizens, a Community Development Corporation, an agricultural cooperative, an Alaska Native corporation, a Native Hawaiian organization, or an Indian tribe
  - Have its principal office <u>located in a HUBZone</u>\*
  - Have at least 35% of its employees living in a HUBZone\*

You can find the full qualification criteria in <u>Title 13 Part 126 Subpart B of the Code of Federal Regulations</u> (CFR).

 $\underline{\text{https://www.sba.gov/federal-contracting/contracting-assistance-programs/hubzone-program}}$ 







### **HUBZone Application Process**

#### Application Website:

https://certifications.sba.gov/

### Through the application portal, you can:

- Access checklists and pre-application guides
- Check your firm's eligibility
- Request information
- Create an account, login and proceed with an application

#### Need help?

Office of the HUBZone program 409 3rd St. SW, Suite 8800 Washington, DC 20416

Email: <u>certifications@sba.gov</u> 

Phone: 866-443-4110

Get weekly information on eligibility assistance

Members of the HUBZone team answer questions on a weekly basis to help firms navigate the certification process.

When	Tuesdays and Thursdays from 2:00 p.m 3:00 p.m. ET
How	Find a local number ♂, or call 208-391-5817 and enter conference ID #278449067

Find more information about the HUBZone map changes and our HUBZone Map Changes: What You Need to Know flyer.

HUBZone information for contracting professionals.

#### Maintain HUBZone certification

- You'll need to recertify for the HUBZone program every three years. There is no limit to the length of time a
  business can participate as long as it continues to qualify.
- HUBZone businesses must notify SBA if their business is involved in a merger or acquisition.
- SBA may visit HUBZone businesses unannounced and conduct program examinations to verify the
  accuracy of any certification made or information provided as part of the HUBZone application or
  recertification process.



# Is this a good fit at this time?



#### However, is this program right for your business at this time?

- Certification considerations:
  - Unlike the 8(a) Program, you do not need to be in business a minimum length of time.
  - Certification is based on location and not other socio-economic considerations other than "small business"
  - Compliance "reporting" is once a year or if not maintaining eligibility
  - · Certification doesn't have a time limit as long as program eligible
    - Must maintain 35% employee HUBZone residence and report if temporarily not meeting the 35%





## **WOSB Program Benefits**

#### **Program benefits**



- To help provide a level playing field for women business owners, the government limits competition for certain contracts to businesses that participate in the Women-Owned Small Business (WOSB) Federal Contract program.
- These contracts are for goods and services in specific industries (identified via NAICS code) where WOSBs are underrepresented. Some contracts are restricted further to economically disadvantaged women-owned small businesses (EDWOSBs). SBA maintains a list of those eligible industries and their NAICS codes.
- Joining the WOSB Federal Contract program makes a business eligible to compete for federal contracts reserved for the program's certified participants. These contracts are known as "set-asides." Provided they are eligible, WOSB-certified firms can still compete for contract awards under other socio-economic programs, including 8(a) and HUBZone.
- It is important to note that WOSB certification benefits only apply to federal contracting opportunities, rather than those in the private sector.

 $\underline{https://www.sba.gov/federal-contracting/contracting-assistance-programs/women-owned-small-business-federal-contract-programs/women-owned-small-business-federal-contracting-assistance-programs/women-owned-small-business-federal-contracting-assistance-programs/women-owned-small-business-federal-contracting-assistance-programs/women-owned-small-business-federal-contracting-assistance-programs/women-owned-small-business-federal-contracting-assistance-programs/women-owned-small-business-federal-contracting-assistance-programs/women-owned-small-business-federal-contracting-assistance-programs/women-owned-small-business-federal-contracting-assistance-programs/women-owned-small-business-federal-contracting-assistance-programs/women-owned-small-business-federal-contracting-assistance-programs/women-owned-small-business-federal-contracting-assistance-programs/women-owned-small-business-federal-contracting-assistance-programs/women-owned-small-business-federal-contracting-assistance-programs/women-owned-small-business-federal-contracting-assistance-programs/women-owned-small-business-federal-contracting-assistance-programs/women-owned-small-business-federal-contracting-assistance-programs/women-owned-small-business-federal-contracting-assistance-programs/women-owned-small-business-federal-contracting-assistance-programs/wowen-owned-small-business-federal-contracting-assistance-programs/wowen-owned-small-business-federal-contracting-assistance-programs/wowen-owned-small-business-federal-contracting-assistance-programs/wowen-owned-small-business-federal-contracting-assistance-programs/wowen-owned-small-business-federal-contracting-assistance-programs/wowen-owned-small-business-federal-contracting-assistance-programs/wowen-owned-small-business-federal-contracting-assistance-programs/wowen-owned-small-business-federal-contracting-assistance-programs/wowen-owned-small-business-federal-contracting-assistance-programs/wowen-owned-small-business-federal-contracting-assistance-programs/wowen-owned-small-business-federal-contra$ 



### **WOSB Program Eligibility**

❖ To be eligible for the WOSB Federal Contract program, a business must:



- Be a small business according to SBA size standards
- Be at least 51% owned and controlled by women who are U.S. citizens
- Have women manage day-to-day operations who also make long-term decisions
- To qualify as an EDWOSB within the program, a business must:
  - Meet all the requirements of the WOSB Federal Contract program
  - Be owned and controlled by one or more women, each with a personal net worth less than \$850,000
  - Be owned and controlled by one or more women, each with \$400,000 or less in adjusted gross income averaged over the previous three years
  - Be owned and controlled by one or more women, each \$6.5 million or less in personal assets



# **WOSB Program Eligibility**

- Economic disadvantage standards have been aligned between the 8(a) Business
  Development program and the WOSB Federal Contract program. Please note that
  funds invested in an official retirement account are excluded from the assessment
  of an economically disadvantaged individual's personal net worth in both programs. 8(a) firms interested in
  pursuing EDWOSB certification may provide their most recent annual review letter (or acceptance letter, if in
  their first year) through applying at certifications.sba.gov.
- SBA also allows participation from firms certified by the U.S. Department of Veterans Affairs Center for Verification and Evaluations (CVE), provided they meet all eligibility requirements. Firms must provide their CVE certificate and supporting documentation through <u>certifications.sba.gov</u>.
- Eligibility requirements for WOSB or EDWOSB qualification are fully defined in <u>Title 13 Part 127 Subpart B</u> of the Code of Federal Regulations(Link is external) (CFR). Firms can also get a preliminary assessment of whether they qualify at <u>certifications.sba.gov</u>.



### **WOSB Application Process**

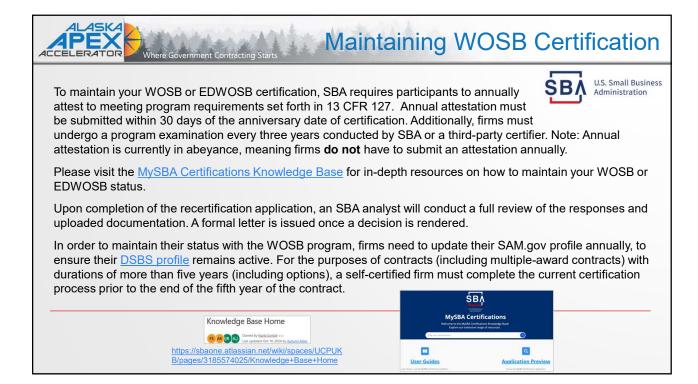
Application Website: <a href="https://certifications.sba.gov/">https://certifications.sba.gov/</a>



#### Get certified as a WOSB

Before firms can compete for WOSB set-aside contracts, they must apply for certification on <u>certifications.sba.gov</u>. This certification site can also help firms:

- Understand the certification process
- Access checklists that provide guidance prior to applying
- Explore their company's eligibility
- Request information from SBA program experts
- Create an account and proceed with their application





# WOSB 3rd Party Certification

There are four organizations approved by SBA to provide third-party certification (TPC). You may contact them to learn more about their certification process and any associated costs. They are:



- El Paso Hispanic Chamber of Commerce
- National Women Business Owners Corporation
- U.S. Women's Chamber of Commerce
- Women's Business Enterprise National Council
- Once approved through one of these four TPCs, you will still need to upload your proof of citizenship and TPC-certified documentation to WOSB.Certify.sba.gov before bidding on WOSB set-aside contracts.
- If you gained third-party certification prior to October 2020, you will remain certified for the duration of existing contracts. After existing contracts expire, you will need to certify through <u>WOSB.Certify.sba.gov</u> to remain eligible for WOSB set-aside contracts.



# Is this a good fit at this time?



#### However, is this program right for your business at this time?

- Certification considerations:
  - Unlike the 8(a) Program, you do not need to be in business a minimum length of time.
  - · Compliance "reporting" is once a year currently in abeyance
  - Certification doesn't have a time limit as long as program eligible
  - EDWOSB sole-source opportunity under allowed NAICS codes

**Note:** In order to maintain their status with the WOSB program, firms need to update their SAM.gov profile annually, to ensure their DSBS profile remains active.





#### **Benefits of SBA certification**



Certification allows SDVOSB firms the opportunity to compete for sole-source and set-aside contracts across the federal government. Certified VOSBs may also compete for sole-source and set-aside contracts from the VA.

#### **Program Benefits**

- Veteran-owned small businesses can access federally owned personal property no longer in use through the General Services Administration's (GSA) Federal Surplus Personal Property Donation Program. (See website for program eligibility requirements.)
- GSA oversees the reuse and donation of federal personal property. It also:
  - Manages Acquisition Gateway
  - Allocates property to the states for donation
  - Reviews State Agency for Surplus Property (SASP) operations
- SASP manages surplus property disbursement, including:
  - · Verification of eligibility
  - Program compliance
  - Fee collection
  - Recordkeeping
  - Dispute resolution (in accordance with respective states' operating plans)

#### SBA Veteran program website:

https://www.sba.gov/federal-contracting/contractingassistance-programs/veteran-contracting-assistanceprograms#id-service-disabled-veteran-owned-smallbusiness-program

#### GSA Acquisition Gateway:

https://www.acquisitiongateway.gov/solutions/resources/16

#### GSA SASP:

https://www.gsa.gov/buy-through-us/government-propertyfor-sale-or-lease/personal-property-management-forfederal-agencies/for-state-agencies-and-publicorganizations/state-agencies-for-surplus-property-contacts



## **VOSB / SDVOSB Eligibility**

#### Eligibility requirements:



To apply for certification with SBA as a VOSB or SDVOSB, a firm must meet the following requirements:

- Be identified by the VA as a Veteran or Service-Disabled Veteran.
- Be considered a small business, as defined by <u>the size standard</u> corresponding to any NAICS code listed in the business's SAM profile.
- Have no less than 51% of the business owned and controlled by one or more veterans.
- For certification as a SDVOSB, have no less than 51% of the business owned and controlled by one or more veterans rated as service-disabled by the VA.
- For those veterans who are permanently and totally disabled and unable to manage the daily business
  operations of their business, their business may still qualify if their spouse or appointed, permanent
  caregiver is assisting in that management.

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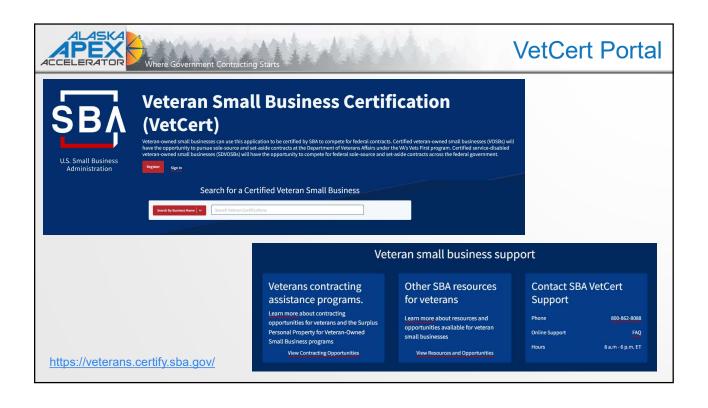


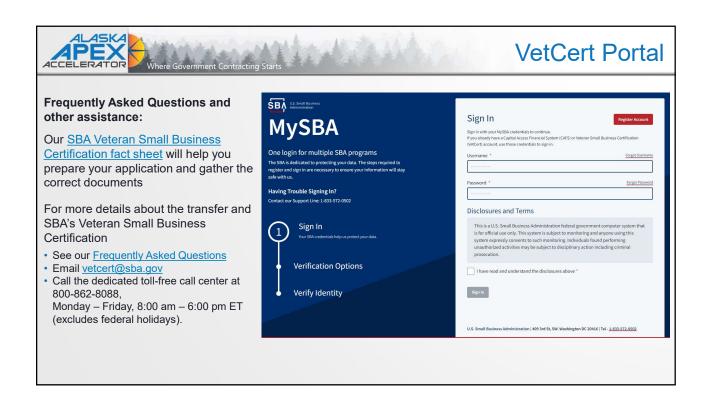
## **VOSB Application Process**



- Application Website: <a href="https://certification.sba.gov/">https://certification.sba.gov/</a>
- To be application ready:
  - Entity registration must be up to date and active in SAM.gov
  - Review VetCert application FAQ Sheet for list of supporting documents: https://sba-cve-docs.s3.us-east-2.amazonaws.com/SBA Document Fact Sheet.pdf
  - · Make sure the have the supporting documents as .pdf files on your computer







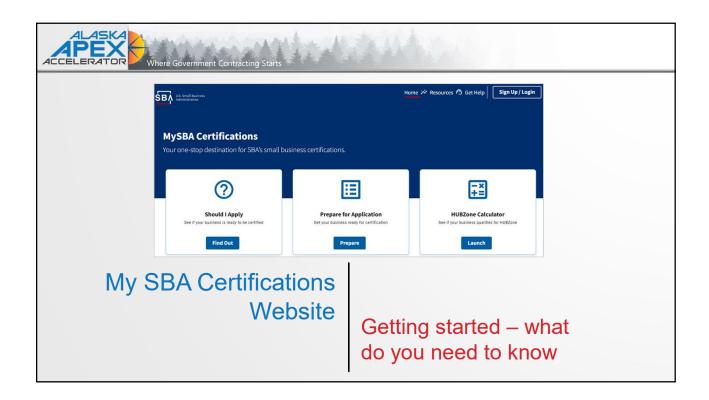


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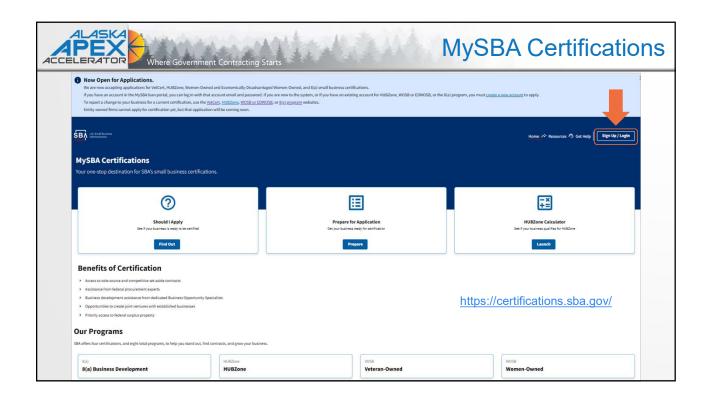


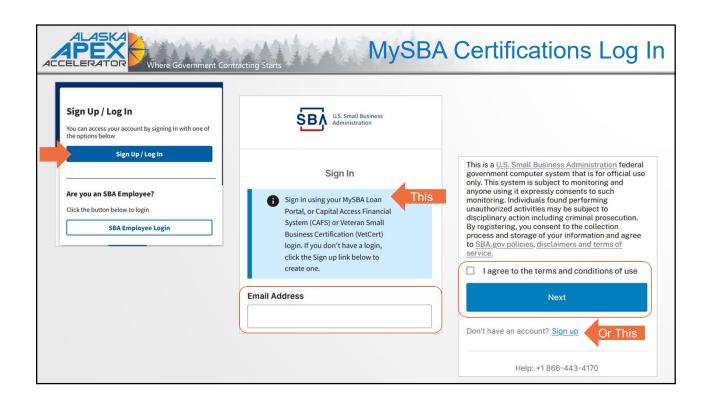
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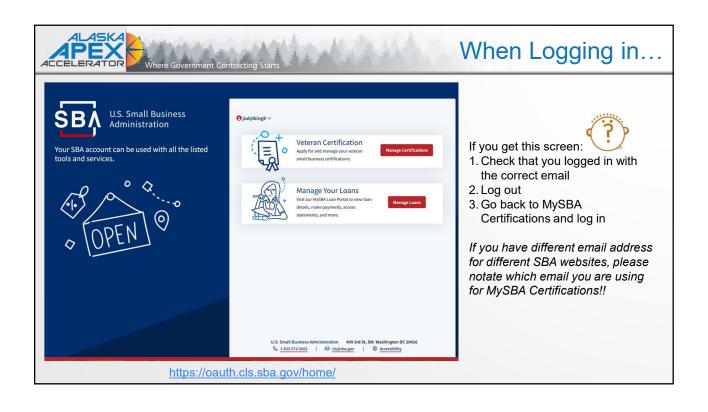
- Certification considerations:
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  - Compliance "reporting" is once a year recertification in three years
  - · Certification doesn't have a time limit as long as program eligible
  - Must have SDVOSB certification to be eligible for SDVOSB Set-aside opportunities
  - VOSB Certification allows for sole-source opportunities for VA contracts

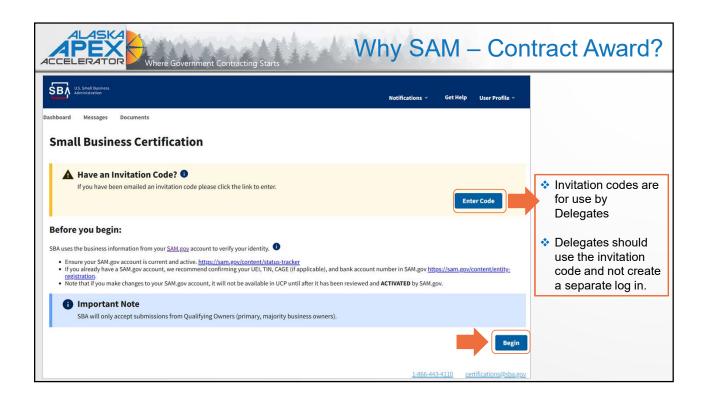


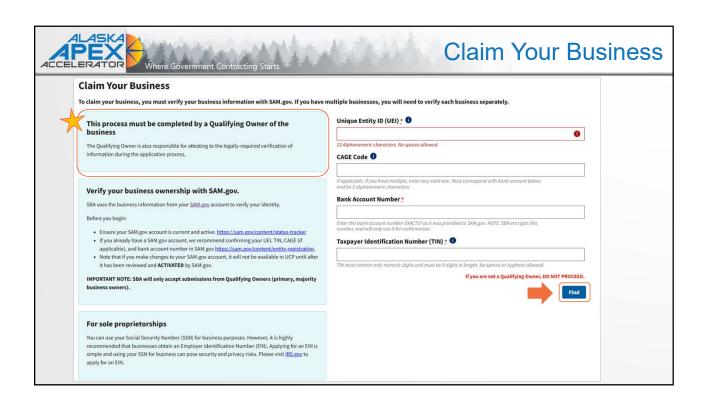


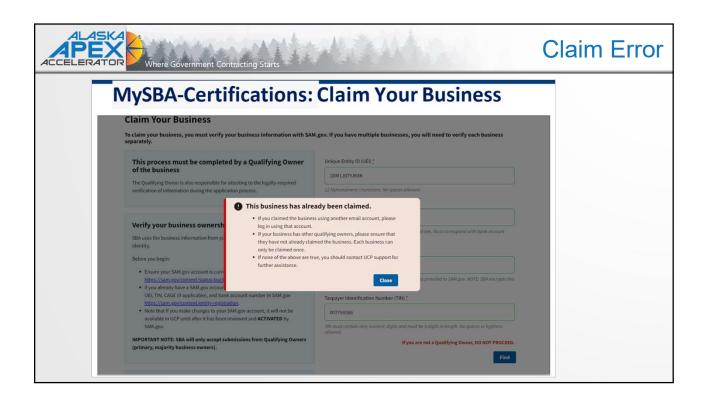


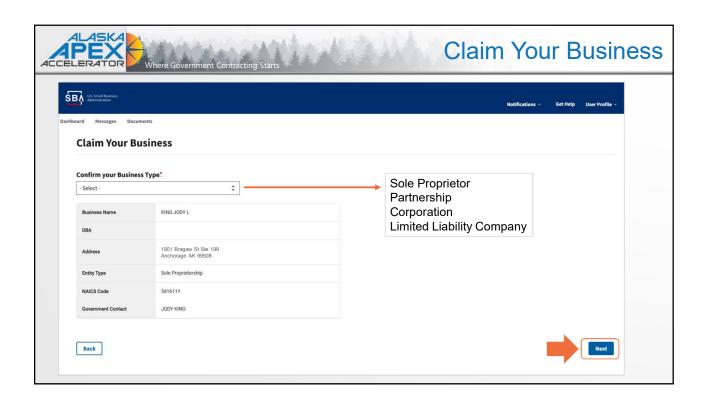


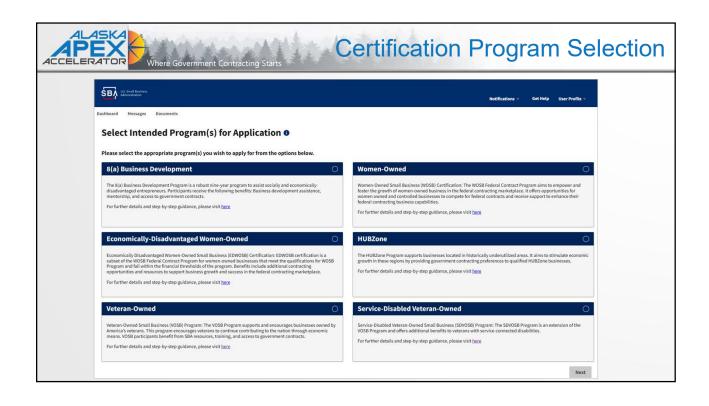


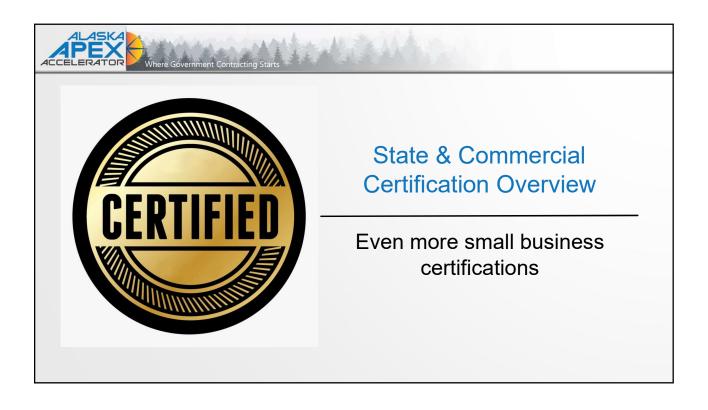














## **DBE** Eligibility

#### **Department of Transportation – Certified through State Programs**

DBE (Disadvantaged Business Enterprise)

#### The main objectives of the DBE Program are:



- · To ensure that our contractors comply with DBE laws and requirements.
- To ensure that DBEs can compete fairly for federally funded transportation-related projects.
- · To ensure that only eligible firms participate as DBEs.
- · To assist DBE firms in competing outside the DBE Program.

Alaska Approved Disadvantaged Business Enterprise (DBE) Program

Alaska Approved Small Business Enterprise (SBE) Program Plan

SBE Program Approved by FHWA

http://www.dot.state.ak.us/cvlrts/aucp.shtml



#### Disadvantaged Business Enterprise:

Is your entity certified by a state certifying agency as a Department of Transportation Disadvantaged Business Enterprise?

Yes - DOT Certified DBE



### **Commercial Certifications**

#### Supplier Diversity Programs

MBE – Minority Business Enterprise

Northwest Mountain Minority Supplier Development Council <a href="https://nwmmsdc.org/">https://nwmmsdc.org/</a>

WBE – Woman Business Enterprise

Women's Business Enterprise National Council (WBENC) http://www.wbenc.org/

US Women's Chamber of Commerce http://www.uswcc.org/certification

El Paso Hispanic Chamber of Commerce <a href="http://www.ephcc.org/">http://www.ephcc.org/</a>

National Women Business Owners Corporation <a href="http://www.nwboc.org/">http://www.nwboc.org/</a>

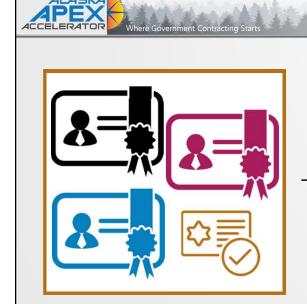
DOBE – Disability-Owned Business Enterprise

Disability Supplier Diversity Program <a href="https://disabilityin.org/">https://disabilityin.org/</a> Coupa Suppliers <a href="https://supplier.coupa.com/certifications/dobe-certification/">https://supplier.coupa.com/certifications/dobe-certification/</a>

LGBT – Lesbian Gay Bisexual Transgender Business Enterprise

National LGBT Chamber of Commerce <a href="https://nglcc.org/lgbtbe-certification/">https://nglcc.org/lgbtbe-certification/</a>





### **Have Certs?**

Making the most out of small business certifications



### **Got Certs?**

- Got certifications? Now what...
  - Do the research:
    - SAM.gov Contract Opportunities
    - FPDS.gov
    - SBA Agency Scorecards which agencies have met goals?
- Respond to Sources Sought Notices & RFIs request to have the solicitation setaside for one of the programs under which you certified
- Promote your certifications! You've worked hard to gain them, now market them!
  - Have a government focused page on your website
  - Add to business cards and marketing material
  - Know when to push your certifications:
    - Industry Days
    - Additional value added for Prime's Small Business Subcontracting plans
- Calendar your recertification dates





### **Program Introduction**

- · Free assistance with all aspects of government contracting, from cradle to grave (start to finish) for federal, state and local purchasing activity
- Free one-on-one appointments
- Free workshops (Special events and extended training session may have registration fees)
- The Alaska APEX is one of 96+ other APEX Accelerators across the US, Puerto Rico, & Guam

https://apexalaska.org/ https://www.napex.us/ https://www.apexaccelerators.us/#/



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#### **Questions?**

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How can the Alaska APEX help you?